

01/04/02

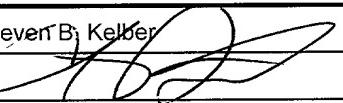
**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No	3781-002-27	
First Inventor or Application Identifier	Yong Liang CHU	
Title	COMPOUNDS FOR DELIVERING SUBSTANCES INTO CELLS	

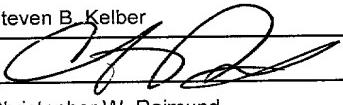
JC832 U.S. PTO 01/04/02
1035223

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents</small>		Assistant Commissioner for Patents ADDRESS TO: Box Patent Application Washington, DC 20231	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small> <input checked="" type="checkbox"/> Applicant claims small entity status.		ACCOMPANYING DOCUMENTS 6. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 7. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney 8. <input type="checkbox"/> English Translation Document (<i>if applicable</i>) 9. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS 10. <input type="checkbox"/> Preliminary Amendment 11. <input checked="" type="checkbox"/> White Advance Serial No. Postcard 12. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(<i>If foreign priority is claimed</i>)</small> 13. <input type="checkbox"/> Request for Priority 14. <input type="checkbox"/> List of Inventors' Names and Addresses 15. <input type="checkbox"/> Other:	
2. <input checked="" type="checkbox"/> Specification Total Pages 31			
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets 29			
4. <input checked="" type="checkbox"/> Oath or Declaration Total Pages 3 <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) <small>(<i>for continuation/divisional with box 16 completed</i>)</small> 			
5. <input type="checkbox"/> Incorporation By Reference (<i>usable if box 4B is checked</i>) <small>The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein</small>			
16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:			
<input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application no.:			
Prior application information: Examiner:			Group Art Unit:
17. Amend the specification by inserting before the first line the sentence:			
<input type="checkbox"/> This application is a <input type="checkbox"/> Continuation <input type="checkbox"/> Division <input type="checkbox"/> Continuation-in-part (CIP) <small>of application Serial No.</small>			
<input type="checkbox"/> This application claims priority of provisional application Serial No. Filed			
18. CORRESPONDENCE ADDRESS Supervisor, Patent Prosecution Services PIPER MARBURY RUDNICK & WOLFE LLP 1200 Nineteenth Street, N.W. Washington, D.C. 20036-2412 Telephone No. (202) 861-3900 Facsimile No. (202) 223-2085			

Name	Steven B. Kelber	Registration No.	30,073		
Signature		Date	JAN. 4, 2002	Telephone	202-861-3900
Name	Christopher W. Raimund	Registration No.		47,258	

FEE TRANSMITTAL

FEE TRANSMITTAL								Docket No.	3781-002-27					
								Serial No.	New Application					
								Filing Date	Herewith					
								Inventor(s)	Yong Liang CHU, et al.					
								Group Art Unit						
TOTAL AMOUNT OF PAYMENT				\$554.00	Examiner									
1. <input checked="" type="checkbox"/> Applicant claims small entity status. <input type="checkbox"/> Charge any <u>UNDERPAYMENT</u> or credit any <u>OVERPAYMENT</u> in the indicated fees to Deposit Account No 50-1442. <input type="checkbox"/> Charge the indicated fees to Deposit Account No. 50-1442.								FEE CALCULATION (continued)						
								3. ADDITIONAL FEES						
								Large Entity	Small Entity	Fee Description				
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Paid										
FEE CALCULATION								105	130	205	65	Surcharge-late filing fee or oath		
1. BASIC FILING FEE								127	50	227	25	Surcharge-late provisional filing fee or cover sheet		
Large Entity	Small Entity	Fee Description		139	130	139	130	Non-English specification						
Fee Code	Fee (\$)	Fee Code	Fee (\$)	147	2520	147	2520	Ex parte reexam fee						
101	740	201	370	Utility filing fee	\$370.00	115	110	215	55	1-mo ext of time				
106	330	206	165	Design filing fee		116	400	216	200	2-mo ext of time				
107	510	207	255	Plant filing fee		117	920	217	460	3-mo ext of time				
108	740	208	370	Reissue filing fee		118	1440	218	720	4-mo ext of time				
134	160	214	80	Provisional filing fee		128	1960	228	980	5-mo ext of time				
SUBTOTAL (1)					\$370.00	119	320	219	160	Notice of Appeal				
2. EXTRA CLAIM FEES								120	320	220	160	Appeal Brief		
tot. claims	36	-	20*	=	16	x	\$9	=	144	121	280	221	140	Request for Oral Hearing
ind. claims	1	-	3*	=	0	x	\$42	=	0	142	1280	242	640	Utility/Reissue Issue Fee
<input type="checkbox"/>	Multiple Dependent Claims				\$140	=			143	460	243	230	Design Issue Fee	
Large Entity	Small Entity	Fee Description		144	620	244	310	Plant Issue Fee						
Fee Code	Fee (\$)	Fee Code	Fee (\$)	122	130	122	130	Petitions to the Commissioner						
103	18	203	9	Claims in excess of 20			126	160	126	180	IDS Submission			
102	64	202	42	Independent claims in excess of 3			581	40	581	40	Assignment \$40.00			
104	280	204	140	Multiple dependent claim, if not paid			179	740	279	370	For Filing RCE			
109	84	209	42	*Reissue independent claims over original patent			169	900	169	900	Expedited Design			
110	18	210	9	*Reissue claims in excess of 20 and over original patent			OTHER (indicate below)							
SUBTOTAL (2)								\$144.00						
* or number previously paid, if greater, For Reissues, see above								SUBTOTAL (3)				\$40.00		

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Signature				Date	JAN. 4, 2002	Telephone	202-861-3900
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